

# Memorandum

**To:** Chairman and Commissioners

**Date:** September 10, 2003

**From:** Diane C. Eidam

BOOK ITEM 4.4  
INFORMATION

**Ref:** 2003 Report of State Transportation Improvement Program (STIP) Balances, County and Interregional Shares

Section 188.10 of the Streets and Highways Code, added by SB45 (1997), requires that the Commission maintain a long-term balance of shares, shortfalls, and surpluses for regional improvement programs. It also requires that the Commission make the balance through the preceding fiscal year available for review by all regional agencies at the time of each fund estimate and by not later than August 15 of each year. The balance is to include shares from the prior fund estimate, amounts programmed in the STIP, surpluses or shortfalls due to reservations or advancements, and adjustments as provided for in statute.

Commission staff transmitted this year's report to all regions and Caltrans on August 8, 2003. It includes both county and interregional share balances, with listings of projects programmed from those balances. The balances include STIP amendments and allocations, including projects placed on the pending allocation list, through June 2003. The report's transmittal letter and the summary table of balances are included with this book item. Copies of the full report are available from the Commission office.

Attachments

R. K. LINDSEY, Chair  
BOB BALGENORTH, Vice Chair  
JIM C. GHIEMMETTI  
JEREMIAH F. HALLISEY  
ALLEN M. LAWRENCE  
DIANNE McKENNA  
JOSEPH TAVAGLIONE  
ESTEBAN E. TORRES

SENATOR KEVIN MURRAY, Ex Officio  
ASSEMBLYMAN JOHN DUTRA, Ex Officio

DIANE C. EIDAM, Executive Director

STATE OF CALIFORNIA



GRAY DAVIS  
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## CALIFORNIA TRANSPORTATION COMMISSION

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August 8, 2003

To: Regional Agencies  
Caltrans

### 2003 Report of STIP Balances County and Interregional Shares

This is the California Transportation Commission's sixth annual report of State Transportation Improvement Program (STIP) county share and interregional share balances. Section 188.10 of the Streets and Highways Code mandates that the Commission maintain a record of balances and that it make the balances through the end of each fiscal year available for review by regional agencies not later than August 15 each year.

This year's report of share balances includes STIP amendments and allocations approved through the Commission's June 26, 2003 meeting. For the first time, this year's report lists many programmed projects that are "pending allocations," that is, projects that have been delivered and are ready for allocation, yet are being withheld due to a projected shortage of cash to support new allocations. The balances in this report are based on the 2002 STIP fund estimate (adopted in August 2001), which included program capacity through the 2006-07 fiscal year. The 2004 STIP fund estimate, to be adopted later this year, will re-evaluate year-by-year program capacity and add two new fiscal years, 2007-08 and 2008-09.

The information in this report is provided in three parts:

1. Summary of STIP Share Balances (1 page). This summary includes, for each county share and the interregional program share:
  - The share balances, drawn from the more detailed share summaries that follow.
  - The Advance Project Development Element (APDE) authority and the current total of APDE projects. The APDE was created by AB 1012 (1999) and, in effect, permits an advance of county or interregional share to the extent that the advance is used for project environmental or design work. The APDE authority and project totals are not listed on the individual county summaries, though the individual APDE projects are noted in the project lists.
  - The sum of projects lapsed during the 2001-02 and 2002-03 fiscal years. The lapsed total is the amount that has been deducted from each share for projects that were deleted from the STIP because they were not allocated before the end of the fiscal year programmed or by the time of any extension granted. These amounts will be added back into the share balances for the new county share period being programmed in the 2004 STIP.
2. Summary of STIP County Shares (statewide total of 80 pages, 1-4 pages per county). For each county, these sheets identify:
  - The total county share at June 30, 2002. This is the amount carried forward from last year's Report of STIP Balances.

- 2002 STIP Fund Estimate Adjustment for Prior Year Project Lapses. This adjustment returned balances to county shares from projects that lapsed prior to the 2001-02 fiscal year. It was not reflected in last year's Report of STIP Balances.
  - Allocations for fiscal year 2001-02. This is the sum of the 2001-02 allocations that were listed individually in last year's Report of STIP Balances. Those allocations are dropped from this year's project listing.
  - Unallocated county share at June 30, 2002. This is the total county share at June 30, 2002, adjusted for prior year lapses and less the total of allocations made during fiscal year 2001-02, as identified above.
  - Projects lapsed at June 30, 2003. This is the total of programmed amounts that lapsed because the projects were not allocated before the end of the fiscal year or by the time of any extension granted. These amounts are deducted from current share balances and will be added back for the new share period to be programmed in the 2004 STIP.
  - The total county share at June 30, 2003. This is the total unallocated share at the end of the prior year, June 30, 2002, less amounts lapsed by June 30, 2003. It is the county share available before deducting the projects now programmed or allocated since June 30, 2002.
  - Project listing. This is a listing by project of the amounts currently programmed from the county share, including project allocations made during the 2002-03 fiscal year. The listing also includes any adjustments or corrections to allocations recorded in last year's Report of STIP Balances.
  - Balance of STIP county share. This is the county's current unprogrammed share balance, or the share balance advanced or overdrawn.
3. Summary of STIP Interregional Share (5 pages). This chart provides the same type of information for the interregional program.

This report includes STIP project listings for the primary purpose of documenting the use and availability of county and interregional shares. Although these listings may provide a useful summary of STIP projects, the project descriptions are very brief and should not be regarded as complete and authoritative. Caltrans maintains a database with more complete project descriptions, and final authority rests with specific Commission actions and their supporting documentation.

Please direct any comments or questions you may have regarding this report to Commission Deputy Director David Brewer, telephone 916-653-2094, e-mail [David.Brewer@dot.ca.gov](mailto:David.Brewer@dot.ca.gov).

Sincerely,



DIANE C. EIDAM  
Executive Director

# SUMMARY OF STIP SHARE BALANCES

## Including STIP Amendments and Allocations through June 2003

(\$1,000's)

	STIP County and Interregional Shares				2002 STIP	Current	FY 01-02	FY 02-03	Total
	Share	Share	Unprogr'd	Balance	APDE	APDE	Lapsed	Lapsed	Lapsed
County	Amount	Programmed	Balance	Advanced	Authority	Projects	Projects	Projects	Projects
Alameda	209,036	229,824	0	20,788	25,975	0	269	0	269
Alpine - Amador - Calaveras	63,005	60,332	2,673	0	4,397	0	0	0	0
Butte	50,639	37,379	13,260	0	4,967	0	966	0	966
Colusa	10,328	7,190	3,138	0	1,309	0	0	0	0
Contra Costa	111,220	106,289	4,931	0	16,836	5,500	85	5,185	5,270
Del Norte	7,278	6,940	338	0	1,253	0	0	0	0
El Dorado LTC	36,869	45,136	0	8,267	3,179	0	0	0	0
Fresno	57,194	115,376	0	58,182	17,949	0	453	344	797
Glenn	11,002	10,660	342	0	1,398	0	0	121	121
Humboldt	52,155	36,626	15,529	0	5,027	0	1,114	850	1,964
Imperial	90,719	60,126	30,593	0	8,399	0	0	0	0
Inyo	72,091	69,033	3,058	0	6,817	2,712	547	14	561
Kern	274,075	273,325	750	0	23,493	6,830	0	0	0
Kings	32,500	32,500	0	0	3,523	0	0	0	0
Lake	19,753	6,799	12,954	0	2,152	0	0	0	0
Lassen	19,387	20,325	0	938	3,196	1,772	5,579	0	5,579
Los Angeles	1,260,075	1,225,609	34,466	0	159,219	1,035	4,308	429	4,737
Madera	17,284	10,065	7,219	0	3,190	0	350	3	353
Marin	52,541	53,062	0	521	4,919	0	55	92	147
Mariposa	7,423	6,378	1,045	0	1,302	0	0	0	0
Mendocino	40,764	37,751	3,013	0	4,745	0	0	116	116
Merced	52,439	42,796	9,643	0	5,732	0	599	122	721
Modoc	8,188	4,465	3,723	0	1,697	0	0	0	0
Mono	42,578	39,652	2,926	0	5,048	3,106	52	0	52
Monterey	127,952	129,156	0	1,204	9,222	8,261	0	0	0
Napa	19,840	6,829	13,011	0	3,049	0	0	0	0
Nevada	18,415	27,055	0	8,640	2,662	0	0	0	0
Orange	391,850	192,503	199,347	0	48,008	0	1,760	0	1,760
Placer TPA	44,278	124,991	0	80,713	5,071	0	0	0	0
Plumas	15,649	9,968	5,681	0	1,924	0	170	225	395
Riverside	429,527	189,841	239,686	0	34,366	0	0	0	0
Sacramento	92,984	111,068	0	18,084	22,398	4,800	596	250	846
San Benito	16,184	14,161	2,023	0	1,671	0	0	466	466
San Bernardino	525,490	561,284	0	35,794	44,725	4,000	0	1,584	1,584
San Diego	334,035	367,924	0	33,889	52,347	0	674	263	937
San Francisco	78,752	73,251	0	13,902	13,273	4,000	52	0	52
San Joaquin	108,146	107,370	776	0	11,676	0	1,000	0	1,000
San Luis Obispo	92,324	82,688	9,636	0	9,386	380	742	1,551	2,293
San Mateo	97,524	97,524	0	0	13,669	0	0	0	0
Santa Barbara	157,761	135,975	21,786	0	10,723	380	0	0	0
Santa Clara	133,954	131,202	2,752	0	30,411	0	813	274	1,087
Santa Cruz	72,089	68,248	3,841	0	5,343	0	7,800	60	7,860
Shasta	35,285	35,059	226	0	5,429	0	313	0	313
Sierra	5,098	1,964	3,134	0	906	0	1,035	42	1,077
Siskiyou	23,224	23,116	108	0	3,770	0	0	50	50
Solano	52,050	52,400	0	350	7,972	0	160	414	574
Sonoma	121,571	137,772	0	16,201	9,731	0	0	61	61
Stanislaus	106,305	86,529	19,776	0	9,042	0	150	14	164
Sutter	21,466	23,422	0	1,956	2,044	0	0	0	0
Tahoe RPA	13,624	10,562	3,062	0	1,360	0	0	673	673
Tehama	20,233	18,073	2,160	0	2,725	1,000	0	22	22
Trinity	22,542	22,110	432	0	1,959	0	0	1,255	1,255
Tulare	118,682	103,706	14,976	0	11,038	0	1,294	32	1,326
Tuolumne	14,074	14,074	0	0	2,227	0	2	243	245
Ventura	137,108	162,687	0	25,579	15,732	4,660	0	0	0
Yolo	20,549	14,623	5,926	0	4,354	2,506	260	0	260
Yuba	15,385	16,950	0	1,565	1,565	3,000	84	0	84
Statewide Regional	6,082,493	5,691,723	697,940	326,573	715,500	53,942	31,282	14,755	46,037
Interregional	2,355,005	2,504,015	0	149,010	238,500	47,220	4,216	15,010	19,226
TOTAL	8,437,498	8,195,738	697,940	475,583	954,000	101,162	35,498	29,765	65,263